InCred

Complaint Data to be displayed by Merchant Banker InCred Holdings Limited (formerly known as KKR Capital Markets India Limited) (SEBI Reg. No: INMoooo11880)

Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS)

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|--------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from | NIL | NIL | NIL | NIL | NIL | NIL |
| | Investors | | | | | | |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if | NIL | NIL | NIL | NIL | NIL | |
| | relevant) | | | | | | |
| 4. | Other Sources (if | NIL | NIL | NIL | NIL | NIL | |
| | any) | | | | | | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous | Received during the particular | Resolved during the particular | Pending at the end of the particular |
|----|-------------|----------------------------------|--------------------------------|--------------------------------|--------------------------------------|
| | | month | month | month | month |
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5. | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particular | Pending at the end of the particular | |
|----|-------------|----------------------------------|-----------------------------------|-----------------------------------|--------------------------------------|--|
| | | year | year | year | year | |
| 1. | 2021 | NIL | NIL | NIL | NIL | |
| 2. | 2022 | NIL | NIL | NIL | NIL | |
| 3. | 2023 | NIL | 1 | 1* | NIL | |
| 4. | 2024@ | NIL | NIL | NIL | NIL | |
| 5. | 2025@ | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | 1 | 1 | NIL | |

@The relevant period has not been completed.
*Complaint withdrawn



Public Issue of Debt Securities

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Private Placement of Non-Convertible Securities

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|---------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Rights Issue

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5. | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Qualified Institutions Placement (QIP)

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Preferential Issue

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5. | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



SME IPO and FPO including OFS

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Buyback of Securities

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5. | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Delisting of Equity Shares

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5. | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Substantial Acquisitions of Shares and Takeovers

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Public offer of units by InvITs

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|---------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Public offer of units by REITs

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|---------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



Private placements of municipal debt securities

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

InCred

Private placement of units by InvITs proposed to be listed

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Data for the month ending – February 2024

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5. | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

InCred

Public issue of non-convertible redeemable preference shares

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous month | Received during the particular month | Resolved during the particular month | Pending at the end of the particular month |
|----|-------------|---|--|--|--|
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5۰ | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particular | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------------|----------------------------------|-----------------------------------|---------------------------------------|---|
| | | year | year | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | NIL | NIL | NIL |
| 4. | 2024 [@] | NIL | NIL | NIL | NIL |
| 5۰ | 2025 [@] | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |



CONSOLIDATED INVESTOR COMPLAINTS DATA

Data for the month ending – February 2024

| SN | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month | Total Pending During the particular month | Pending complaints > 1 month | Average Resolution time (in days) |
|----|------------------------------|--|---|---|---|------------------------------------|--|
| 1. | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2. | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | |
| 3. | Stock Exchanges(if relevant) | NIL | NIL | NIL | NIL | NIL | |
| 4. | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | |

Table showing trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month | Carried forward from previous | Received during the particular | Resolved during the particular | Pending at the end of the particular |
|----|-------------|----------------------------------|-----------------------------------|--------------------------------|--------------------------------------|
| | | month | month | month | month |
| 1. | Oct 2023 | NIL | NIL | NIL | NIL |
| 2. | Nov 2023 | NIL | NIL | NIL | NIL |
| 3. | Dec 2023 | NIL | NIL | NIL | NIL |
| 4. | Jan 2024 | NIL | NIL | NIL | NIL |
| 5. | Feb 2024 | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL |

Table showing trend of annual disposal of complaints (For 5 years on rolling basis – calendar year):

| SN | Year | Carried forward From previous | Received during The particularyear | Resolved during The particularyear | Pending at the end of the particular |
|----|-------------|----------------------------------|---------------------------------------|---------------------------------------|---|
| | | year | | | year |
| 1. | 2021 | NIL | NIL | NIL | NIL |
| 2. | 2022 | NIL | NIL | NIL | NIL |
| 3. | 2023 | NIL | 1 | 1* | NIL |
| 4. | 2024@ | NIL | NIL | NIL | NIL |
| 5. | 2025@ | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | 1 | 1 | NIL |

@The relevant period has not been completed.*Complaint withdrawn